

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		S&H ATTORNEY'S DOCKET NUMBER 1391.1033
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		09 / 889089
INTERNATIONAL APPLICATION NO. PCT/JP00/08452	INTERNATIONAL FILING DATE November 30, 2000	PRIORITY DATE CLAIMED November 30, 1999
TITLE OF INVENTION CHARACTER-RECOGNITION PRE-PROCESSING APPARATUS AND METHOD, AND PROGRAM RECORDING MEDIUM		
APPLICANT(S) FOR DO/EO/US Hiroshi Kakutani, et al.		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)). 3. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 4. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 5. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 7. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. <input type="checkbox"/> An oath or declaration of the inventor (35 U.S.C. 371(c)(4)). 9. <input type="checkbox"/> A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 10-15 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 10. <input checked="" type="checkbox"/> An Information Disclosure Statement Under 37 CFR 1.97 and 1.98. 11. <input type="checkbox"/> An assignment document for recording. <p>Please mail the recorded assignment document to:</p> <ol style="list-style-type: none"> a. <input type="checkbox"/> the person whose signature, name & address appears at the bottom of this document. b. <input type="checkbox"/> the following: 12. <input checked="" type="checkbox"/> A preliminary amendment. 13. <input type="checkbox"/> A substitute specification 14. <input type="checkbox"/> A change of power of attorney and/or address letter. 15. <input type="checkbox"/> Other items or information: 		

09/889089

JUL Rec'd PCT/PTO 12 JUL 2001

[X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS	11-20=	0		x \$ 18.00	0.00
INDEPENDENT CLAIMS	3- 3=	0		x \$ 80.00	0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	270.00
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4):					
[] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000					
[X] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860					
[] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$ 710					
[] International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provision of PCT Article 33(1)-(4) \$ 690					
[] International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) \$ 100					
Surcharge of \$130 for furnishing the National fee or oath or declaration later than [X] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)).					
TOTAL OF ABOVE CALCULATIONS					
1,260.00					
Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)					
SUBTOTAL					
1,260.00					
Processing fee of \$130 for furnishing the English Translation later than [] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).					
TOTAL NATIONAL FEE					
1,260.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)).					
+					
TOTAL FEES ENCLOSED					
1,260.00					

- a. [X] A check in the amount of \$ 1,260.00 to cover the above fees is enclosed.
- b. [] Please charge my Deposit Account No. 19-3935 in the Amount of \$ to cover the
above fees. A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.



21171

PATENT TRADEMARK OFFICE

July 12, 2001
DATE

NAME: David M. Pitcher
REGISTRATION NO. 25,908

09/889089
JCT C'd PCT/PTO 12 JUL 2001

Docket No.: 1391.1033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of 35 U.S.C. §371 of:

Hiroshi KAKUTANI, et al.

Based Upon International Serial No.
PCT/JP00/08452

Group Art Unit: Unassigned

Examiner: Unassigned

Confirmation No.

U.S. Filing Date:

For: **CHARACTER-RECOGNITION PRE-PROCESSING APPARATUS AND METHOD, AND
PROGRAM RECORDING MEDIUM**

LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attn: **United States Designated/Elected Office (DO/EO/US)**

Applicants submit herewith for filing an application under 35 U.S.C. §371, including the Transmittal Letter to the United States Designating/Elected Office (DO/EO/US) (Form PTO-1390), the English translation of the above-identified application, including drawings, Preliminary Amendment, Request for International Patent Application as filed (PCT/RO/101), and International Search Report (Form PCT/ISA/210).

Applicants\inventors for the U.S. national stage are:

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Respectfully submitted,

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